

1 FINOLA H. MANVELIAN, Cal. Bar No. 180681
 Email: manvelianf@sec.gov
 2 JESSICA R. PUATHASNANON, Cal. Bar No. 208074
 Email: puathasnanonj@sec.gov
 3 DOUGLAS F. KOBAYASHI, Cal. Bar No. 205886
 Email: kobayashid@sec.gov

4 Attorneys for Plaintiff
 5 Securities and Exchange Commission
 Rosalind R. Tyson, Regional Director
 6 Andrew Petillon, Associate Regional Director
 John M. McCoy III, Regional Trial Counsel
 7 5670 Wilshire Boulevard, 11th Floor
 Los Angeles, California 90036-3648
 8 Telephone: (323) 965-3998
 Facsimile: (323) 965-3908

Priority _____
 Send _____
 Enter _____
 Closed _____
 JS-5/JS-6 ☒
 JS-2/JS-3 _____
 Scan Only _____

10 UNITED STATES DISTRICT COURT
 11 CENTRAL DISTRICT OF CALIFORNIA

12
 13 SECURITIES AND EXCHANGE
 COMMISSION,

14
 15 Plaintiff,
 16 vs.

17 PACIFIC ASIAN ATLANTIC
 FOUNDATION and SAMUEL M.
 18 NATT,

19 Defendants.
 20
 21
 22
 23
 24
 25
 26
 27
 28

Case No.

CV 10-01214-NBK
 (REV)
~~PROPOSED~~ FINAL JUDGMENT
 AGAINST PACIFIC ASIAN
 ATLANTIC FOUNDATION AND
 SAMUEL M. NATT

1 The Securities and Exchange Commission having filed a Complaint and
2 Defendants Pacific Asian Atlantic Foundation ("PAAF") and Samuel M. Natt
3 ("Natt" and collectively "Defendants") having entered general appearances,
4 consented to the Court's jurisdiction over them and the subject matter of this
5 action, consented to entry of this Final Judgment without admitting or denying the
6 allegations of the Complaint (except as to jurisdiction), waived findings of fact and
7 conclusions of law, and waived any right to appeal from this Final Judgment:

8 **I.**

9 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that
10 Defendants and their agents, servants, employees, attorneys, and all persons in
11 active concert or participation with them who receive actual notice of this Final
12 Judgment by personal service or otherwise are permanently restrained and enjoined
13 from violating Section 17(a)(1) and (3) of the Securities Act of 1933 (the
14 "Securities Act"), 15 U.S.C. § 77q(a)(1) and (3), in the offer or sale of any security
15 by the use of any means or instruments of transportation or communication in
16 interstate commerce or by use of the mails, directly or indirectly:

- 17 (a) to employ any device, scheme, or artifice to defraud; or
18 (b) to engage in any transaction, practice, or course of business which
19 operates or would operate as a fraud or deceit upon the purchaser.

20 **II.**

21 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Natt
22 shall pay a civil penalty in the amount of \$50,000 pursuant to Section 20(d) of the
23 Securities Act, 15 U.S.C. § 78t(d). Natt shall make this payment by paying \$5,000
24 within ten (10) days after entry of this Final Judgment by certified check, bank
25 cashier's check, or United States postal money order payable to the Securities and
26 Exchange Commission. The remaining \$45,000 shall be paid in full within one
27 year after entry of this Final Judgment in four installments as follows: (1) \$11,250
28 plus \$8.04 in post-judgment interest, for a total payment of \$11,258.04, within 90

1 days of entry of the Final Judgment; (2) \$11,250 plus \$16.09 in post-judgment
2 interest, for a total payment of \$11,266.09, within 180 days of entry of the Final
3 Judgment; (3) \$11,250 plus \$24.13 in post-judgment interest, for a total payment of
4 \$11,274.13, within 270 days of entry of the Final Judgment; and (4) \$11,250 plus
5 \$32.63 in post-judgment interest, for a total payment of \$11,282.63, within 365
6 days of entry of the Final Judgment. The Final Judgment shall be satisfied in full
7 by timely payment of the \$50,000 civil penalty plus post-judgment interest for a
8 total payment of \$50,080.89 as described herein. If Natt fails to make any payment
9 according to the payment plan set forth above in this paragraph, the payment plan
10 shall become null and void, and all amounts due under the Final Judgment minus
11 any payments made shall become due and payable immediately. The payments
12 shall be made by certified check, bank cashier's check, or United States postal
13 money order payable to the Securities and Exchange Commission delivered or
14 mailed to the Office of Financial Management, Securities and Exchange
15 Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3,
16 Alexandria, Virginia 22312, and shall be accompanied by a letter identifying
17 Samuel M. Natt as a defendant in this action; setting forth the title and civil action
18 number of this action and the name of this Court; and specifying that payment is
19 made pursuant to this Final Judgment. A copy of the cover letter and money order
20 or check shall be sent to Finola H. Manvelian, Assistant Regional Director, Los
21 Angeles Regional Office, United States Securities and Exchange Commission,
22 5670 Wilshire Boulevard, 11th Floor, Los Angeles, California 90036. Natt shall
23 pay post-judgment interest on any delinquent amounts pursuant to 28 U.S.C.
24 § 1961. The Commission shall remit the funds paid pursuant to this paragraph to
25 the United States Treasury.

26 III.

27 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
28 Defendants' Consents are incorporated herein with the same force and effect as if

1 fully set forth herein, and that Defendants shall comply with all of the undertakings
2 and agreements set forth therein.


3 IV.

4 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this
5 Court shall retain jurisdiction of this matter for the purposes of enforcing the terms
6 of this Final Judgment.

7 V.

8 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
9 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment
10 forthwith and without further notice.

11
12 Dated: MAR - 2 2010, ~~2009~~

13
14
15 
16 UNITED STATES DISTRICT JUDGE
17
18
19
20
21
22
23
24
25
26
27
28